

PA-IDC

| QUERY CONTROL FORM                |                         | RTIS USE ONLY                   |  |
|-----------------------------------|-------------------------|---------------------------------|--|
| Application No. <u>09/757,414</u> | Prepared by <u>NPB</u>  | Tracking Number <u>05905854</u> |  |
| Examiner-GAU <u>-1771</u>         | Date <u>3/17/04</u>     | Week Date <u>2/16/04</u>        |  |
|                                   | No. of queries <u>2</u> | <u>IFW</u>                      |  |

| JACKET               |                        |                    |                               |
|----------------------|------------------------|--------------------|-------------------------------|
| a. Serial No.        | f. Foreign Priority    | k. Print Claim(s)  | p. PTO-1449                   |
| b. Applicant(s)      | g. Disclaimer          | l. Print Fig.      | q. PTOL-85b                   |
| c. Continuing Data   | h. Microfiche Appendix | m. Searched Column | r. Abstract                   |
| d. PCT               | i. Title               | n. PTO-270/328     | s. Sheets/Figs                |
| e. Domestic Priority | j. Claims Allowed      | o. PTO-892         | t. Other <u>NDA/NDA/index</u> |

| SPECIFICATION          | MESSAGE  |
|------------------------|--|
| a. Page Missing        | <p>① PTO-1449: (5 pages): please either initial or line through citations. (copy provided for reference).</p> <p>② Please supply the following:</p> <p>Issuing classification form } not found in IFW system</p> <p>Index of claims }</p> <p>Notice of Allowance }</p> <p>Notice of Allowability }</p> <p><i>Thompson</i></p> <p>initials <i>AMH</i></p> |
| b. Text Continuity     |  |
| c. Holes through Data  |  |
| d. Other Missing Text  |  |
| e. Illegible Text      |  |
| f. Duplicate Text      |  |
| g. Brief Description   |  |
| h. Sequence Listing    |  |
| i. Appendix            |  |
| j. Amendments          |  |
| k. Other               |  |
| <b>CLAIMS</b>          |  |
| a. Claim(s) Missing    | <p><b>RESPONSE</b></p> <p>① PTO-1449 (5 pages) are initialed + signed.</p> <p>② - Notice of allowance + Notice of allowability are in system already.</p> <p>indicated by NDA (09/08/2003)</p> <p>- Please check for issuing classification form - was already submitted for scanning in IFW.</p> <p>initials <i>DRS</i></p>                             |
| b. Improper Dependency |  |
| c. Duplicate Numbers   |  |
| d. Incorrect Numbering |  |
| e. Index Disagrees     |  |
| f. Punctuation         |  |
| g. Amendments          |  |
| h. Bracketing          |  |
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| k. Other               |  |

HA-IDC

|                            |                        |                          |  |
|----------------------------|------------------------|--------------------------|--|
| QUERY CONTROL FORM 751     |                        | RTIS USE ONLY            |  |
| Application No. 09/574,414 | Prepared by J. Robbins | Tracking Number 05863190 |  |
| Examiner-GAU Morris-1771   | Date 1-5-04            | Week Date 11-17-03       |  |
|                            | No. of queries 1       | IFW                      |  |

### JACKET

|                      |                        |                    |                |
|----------------------|------------------------|--------------------|----------------|
| a. Serial No.        | f. Foreign Priority    | k. Print Claim(s)  | p. PTO-1449    |
| b. Applicant(s)      | g. Disclaimer          | l. Print Fig.      | q. PTOL-85b    |
| c. Continuing Data   | h. Microfiche Appendix | m. Searched Column | r. Abstract    |
| d. PCT               | i. Title               | n. PTO-270/328     | s. Sheets/Figs |
| e. Domestic Priority | j. Claims Allowed      | o. PTO-892         | t. Other       |

### SPECIFICATION

- a. Page Missing
- b. Text Continuity
- c. Holes through Data
- d. Other Missing Text
- e. Illegible Text
- f. Duplicate Text
- g. Brief Description
- h. Sequence Listing
- i. Appendix
- j. Amendments
- k. Other

### MESSAGE

Per NOA allowed claims are 24-28, 30-50 and 56-83. and index of claims indicates claims being renumbered in same order. Per Amendment 8-19-03, claim 25 is canceled, ~~not~~ listed as allowed on NOA, claim 29 is new - listed as canceled on NOA. Claims 38-50 and 56-83 are missing.

Please verify total claims and provide complete set of allowed claims.

### CLAIMS

- a. Claim(s) Missing
- b. Improper Dependency
- c. Duplicate Numbers
- d. Incorrect Numbering
- e. Index Disagrees
- f. Punctuation
- g. Amendments
- h. Bracketing
- i. Missing Text
- j. Duplicate Text
- k. Other

### RESPONSE

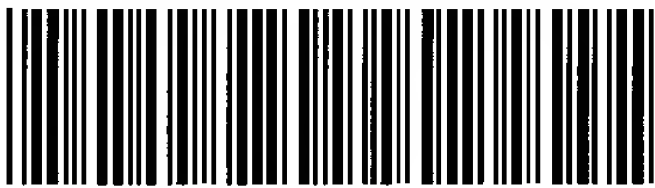
Please note that there is no amendment dated 8/19/03 for this application. The most recent amendment is dated 6/2/2003 which indicates that <sup>claim</sup> 25 is allowed, claim 29 is canceled and claims 38-50 and 56-83 are not missing. See amendment 6/2/2003

Thank You  
initials JJB

initials JJB

The allowed claims are

24-28, 30-50, 56-83 as indicated on the notice of allowability.

|   |                 |               |  |
|---|-----------------|---------------|--|
| <div>Index of Claims</div> <div></div> | Application No. | Applicant(s)  |  |
|   | 09/751,414      | MORMAN ET AL. |  |
|   | Examiner        | Art Unit      |  |
|   | Jennifer A Boyd | 1771          |  |

|   |          |
|---|----------|
| √ | Rejected |
| = | Allowed  |

|   |                                |
|---|--------------------------------|
| — | (Through numeral)<br>Cancelled |
| + | Restricted                     |

|   |              |
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| N | Non-Elected  |
| I | Interference |

|   |          |
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| A | Appeal   |
| O | Objected |

| Claim |          | Date   |  |  |  |  |  |  |
|-------|----------|--------|--|--|--|--|--|--|
| Final | Original | 9/8/03 |  |  |  |  |  |  |
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| Claim |          | Date |  |  |  |  |  |  |
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| Final | Original |      |  |  |  |  |  |  |
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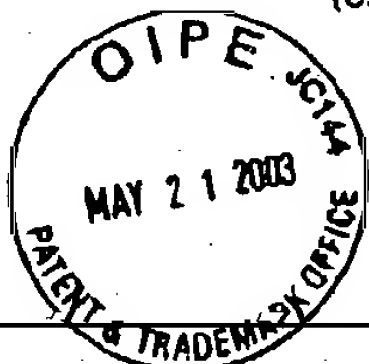
FORM PTO-1449  
(MODIFIED)U.S. DEPARTMENT OF COMMERCE  
PATENT AND TRADEMARK OFFICE

ATTY. DOCKET NO.

KCC-15,848

SERIAL NO.

09/751,414

LIST OF PRIOR ART CITED BY APPLICANT  
(Use several sheets if necessary)

APPLICANT(S)

Michael Tod MORMAN et al.

FILING DATE

28 December 2000

GROUP

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1771

## U.S. PATENT DOCUMENTS

| *EXAMINER<br>INITIAL |    | DOCUMENT NUMBER | DATE    | NAME              | CLASS | SUBCLASS | FILING DATE<br>IF APPROPRIATE |
|----------------------|----|-----------------|---------|-------------------|-------|----------|-------------------------------|
| JTB                  | AA | 3,679,538       | 07/1972 | Druin et al.      | —     | —        | —                             |
| JTB                  | AB | 3,770,537       | 11/1973 | Elton             | —     | —        | —                             |
| JTB                  | AC | 3,843,761       | 10/1974 | Bierenbaum et al. | —     | —        | —                             |
| JTB                  | AD | 3,844,865       | 10/1974 | Elton et al.      | —     | —        | —                             |
| JTB                  | AE | 3,852,224       | 12/1974 | Bridgeford        | —     | —        | —                             |
| JTB                  | AF | 3,870,593       | 03/1975 | Elton et al.      | —     | —        | —                             |
| JTB                  | AG | 3,932,682       | 01/1976 | Loft et al.       | —     | —        | —                             |
| JTB                  | AH | 4,110,392       | 08/1978 | Yamazaki          | —     | —        | —                             |
| JTB                  | AI | 4,187,390       | 02/1980 | Gore              | —     | —        | —                             |
| JTB                  | AJ | 4,257,997       | 03/1981 | Soehngen et al.   | —     | —        | —                             |
| JTB                  | AK | 4,384,023       | 05/1983 | Okamura et al.    | —     | —        | —                             |

## FOREIGN PATENT DOCUMENTS

|     |    | DOCUMENT NUMBER | DATE    | COUNTRY          | CLASS | SUBCLASS | TRANSLATION |    |
|-----|----|-----------------|---------|------------------|-------|----------|-------------|----|
|     |    |                 |         |                  |       |          | YES         | NO |
| JTB | AL | 1266806         | 10/1989 | Japan (Abstract) | —     | —        | —           | —  |
| JTB | AM | 7207052         | 08/1995 | Japan (Abstract) | —     | —        | —           | —  |
| JTB | AN | 9124814         | 05/1997 | Japan (Abstract) | —     | —        | —           | —  |
| JTB | AO | 10249945        | 09/1998 | Japan (Abstract) | —     | —        | —           | —  |
| JTB | AP | 11192670        | 07/1999 | Japan (Abstract) | —     | —        | —           | —  |

## OTHER PRIOR ART (Including Author, Title, Date, Pertinent Pages, Etc.)

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EXAMINER

DATE CONSIDERED

May 17, 2004

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

USCOMM-DC 80-3985

P1005.3/ jms

KCC-1112

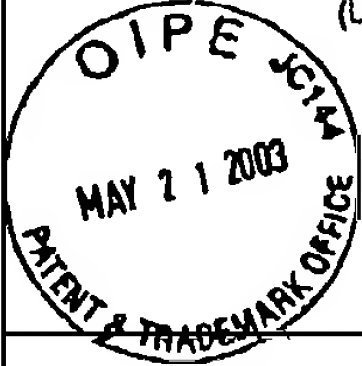
FORM PTO-1449  
(MODIFIED)U.S. DEPARTMENT OF COMMERCE  
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## U.S. PATENT DOCUMENTS

| *EXAMINER<br>INITIAL |    | DOCUMENT NUMBER | DATE    | NAME               | CLASS | SUBCLASS | FILING DATE<br>IF APPROPRIATE |
|----------------------|----|-----------------|---------|--------------------|-------|----------|-------------------------------|
| JTB                  | AA | 4,386,129       | 05/1983 | Jacoby             |       |          |                               |
| JTB                  | AB | 4,539,256       | 09/1985 | Shipman            |       |          |                               |
| JTB                  | AC | 4,707,314       | 11/1987 | Kawahigashi et al. |       |          |                               |
| JTB                  | AD | 4,815,714       | 03/1989 | Douglas            |       |          |                               |
| JTB                  | AE | 4,832,886       | 05/1989 | Douglas            |       |          |                               |
| JTB                  | AF | 4,919,992       | 04/1990 | Blundell et al.    |       |          |                               |
| JTB                  | AG | 4,975,469       | 12/1990 | Jacoby et al.      |       |          |                               |
| JTB                  | AH | 5,008,296       | 04/1991 | Antoon, Jr. et al. |       |          |                               |
| JTB                  | AI | 5,173,235       | 12/1992 | Kamei et al.       |       |          |                               |
| JTB                  | AJ | 5,238,636       | 08/1993 | Furukawa et al.    |       |          |                               |
| JTB                  | AK | 5,271,839       | 12/1993 | Moya et al.        |       |          |                               |

## FOREIGN PATENT DOCUMENTS

|     |    | DOCUMENT NUMBER | DATE    | COUNTRY | CLASS | SUBCLASS | TRANSLATION |    |
|-----|----|-----------------|---------|---------|-------|----------|-------------|----|
|     |    |                 |         |         |       |          | YES         | NO |
| JTB | AL | 256 717         | 02/1988 | EPO     |       |          |             |    |
| JTB | AM | 98/28135        | 07/1998 | PCT     |       |          |             |    |
| JTB | AN | 99/33651        | 07/1999 | PCT     |       |          |             |    |
| JTB | AO | 99/33654        | 07/1999 | PCT     |       |          |             |    |
| JTB | AP | 00/29199        | 05/2000 | PCT     |       |          |             |    |

## OTHER PRIOR ART (Including Author, Title, Date, Pertinent Pages, Etc.)

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EXAMINER

DATE CONSIDERED

May 17, 2004

\*EXAMINER: Initial reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

USCOMM-DC 80-3985

P1005.3/ jms

KCC-1112

FORM PTO-1449  
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| *EXAMINER<br>INITIAL |    | DOCUMENT NUMBER | DATE    | NAME               | CLASS | SUBCLASS | FILING DATE<br>IF APPROPRIATE |
|----------------------|----|-----------------|---------|--------------------|-------|----------|-------------------------------|
| JB                   | AA | 5,358,678       | 10/1994 | Nakamura et al.    |       |          |                               |
| JB                   | AB | 5,458,951       | 10/1995 | Kagawa             |       |          |                               |
| JB                   | AC | 5,498,335       | 03/1996 | Moya               |       |          |                               |
| JB                   | AD | 5,510,176       | 04/1996 | Nakamura et al.    |       |          |                               |
| JB                   | AE | 5,648,107       | 07/1997 | Kagawa et al.      |       |          |                               |
| JB                   | AF | 5,800,758       | 09/1998 | Topolkaracv et al. |       |          |                               |
| JB                   | AG | 5,914,184       | 06/1999 | Morman             |       |          |                               |
| JB                   | AH | 5,938,874       | 08/1999 | Palomo et al.      |       |          |                               |
| JB                   | AI | 5,883,028       | 03/1999 | Morman et al.      |       |          |                               |
| JB                   | AJ | 5,910,136       | 06/1999 | Hetzler et al.     |       |          |                               |
| JB                   | AK | 5,955,187       | 09/1999 | McCormack et al.   |       |          |                               |

## FOREIGN PATENT DOCUMENTS

|    |    | DOCUMENT NUMBER | DATE    | COUNTRY | CLASS | SUBCLASS | TRANSLATION |    |
|----|----|-----------------|---------|---------|-------|----------|-------------|----|
|    |    |                 |         |         |       |          | YES         | NO |
| JB | AL | 01/23180        | 04/2001 | PCT     |       |          |             |    |
|    | AM |                 |         |         |       |          |             |    |
|    | AN |                 |         |         |       |          |             |    |
|    | AO |                 |         |         |       |          |             |    |
|    | AP |                 |         |         |       |          |             |    |

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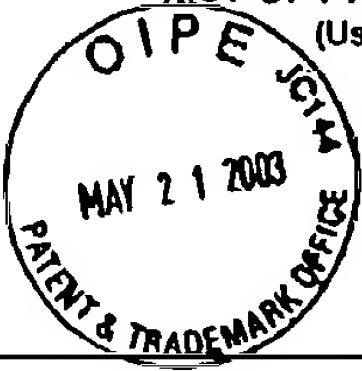
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|----------------------|----|-----------------|---------|--------------------|-------|----------|-------------------------------|
| JTB                  | AA | 5,968,643       | 10/1999 | Topolkaracv et al. |       |          |                               |
| JTB                  | AB | 5,993,589       | 11/1999 | Morman             |       |          |                               |
| JTB                  | AC | 6,013,151       | 01/2000 | Wu et al.          |       |          |                               |
| JTB                  | AD | 6,015,764       | 01/2000 | McCormack et al.   |       |          |                               |
| JTB                  | AE | 6,072,005       | 06/2000 | Kobylivker et al.  |       |          |                               |
| JTB                  | AF | 6,096,014       | 08/2000 | Haffner et al.     |       |          |                               |
| JTB                  | AG | 6,111,163       | 08/2000 | McCormack et al.   |       |          |                               |
| JTB                  | AH | 6,168,800       | 01/2001 | Dobos et al.       |       |          |                               |
| JTB                  | AI | 6,245,401       | 06/2001 | Ying et al.        |       |          |                               |
| JTB                  | AJ | 6,255,359       | 07/2001 | Agrawal et al.     |       |          |                               |
| JTB                  | AK | 6,261,674       | 07/2001 | Branham et al.     |       |          |                               |

## FOREIGN PATENT DOCUMENTS

|  |    | DOCUMENT NUMBER | DATE | COUNTRY | CLASS | SUBCLASS | TRANSLATION |    |
|--|----|-----------------|------|---------|-------|----------|-------------|----|
|  |    |                 |      |         |       |          | YES         | NO |
|  | AL |                 |      |         |       |          |             |    |
|  | AM |                 |      |         |       |          |             |    |
|  | AN |                 |      |         |       |          |             |    |
|  | AO |                 |      |         |       |          |             |    |
|  | AP |                 |      |         |       |          |             |    |

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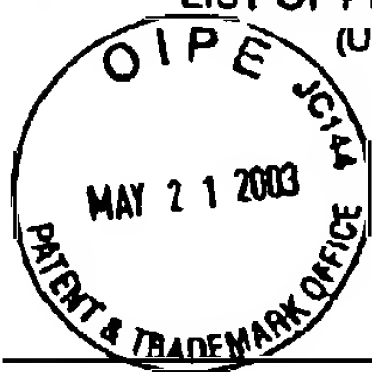
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|----------------------|----|-----------------|---------|------------------|-------|----------|-------------------------------|
| JB                   | AA | 6,265,059       | 07/2001 | Kono et al.      | —     | —        | —                             |
| JB                   | AB | 6,268,048       | 07/2001 | Topolkaev et al. | —     | —        | —                             |
|                      | AC |                 |         |                  |       |          |                               |
|                      | AD |                 |         |                  |       |          |                               |
|                      | AE |                 |         |                  |       |          |                               |
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|                      | AG |                 |         |                  |       |          |                               |
|                      | AH |                 |         |                  |       |          |                               |
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|                      | AJ |                 |         |                  |       |          |                               |
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